

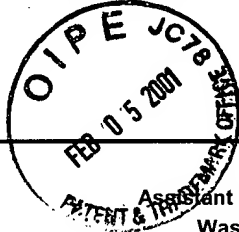
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
1235-00

In Re Application Of: George Friedman, et al.

Serial No.
09/701,201Filing Date
November 27, 2000

Examiner

Group Art Unit

Title: **METHOD & SYSTEM FOR PROVIDING DATA SECURITY IN A FILE SYSTEM MONITOR
WITH STACK POSITIONING**Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

#3

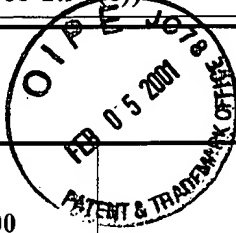
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-3405 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **January 31, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Paul A. Taufer

Typed or Printed Name of Person Mailing Correspondence

Dated: **January 31, 2001**

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: n/a	36th Floor
Examiner	: n/a	1600 Market Street
Serial No.	: 09/701,201	Philadelphia, PA 19103
Filed	: November 27, 2000	
Inventor	: George Friedman, et al.	Docket: 1235-00
Title	: METHOD & SYSTEM FOR PROVIDING	
	: DATA SECURITY	
	: IN A FILE SYSTEM MONITOR	
	: WITH STACK POSITIONING	

Dated: January 31, 2001

INFORMATION DISCLOSURE STATEMENT

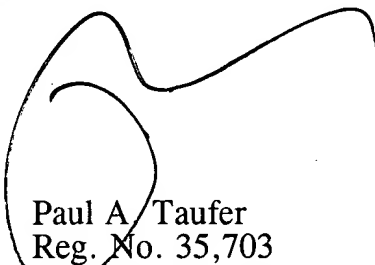
Box DD

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In the interest of full disclosure, we submit herewith Form PTO-1449 together with copies of the cited references listed therein in accordance with 37 CFR §§1.56, 1.97 and 1.98 for consideration by the Examiner. The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

Respectfully submitted,


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Attorney for Applicants

PAT/hw